

## FEE TRANSMITTAL

APR 30 2002

FEB 2002

## Complete if Known

|                           |                  |
|---------------------------|------------------|
| Application Serial Number | 10:067.527       |
| Filing Date               | February 4, 2002 |
| First Named Inventor      | Takada           |
| Group Art Unit            | 1653             |
| Examiner Name             | Not yet assigned |
| Attorney Docket No.       | FJN-058C1        |

## METHOD OF PAYMENT

1. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.  
☐ Required Fees (copy of this sheet enclosed).  
☒ Additional fee required under 37 CFR 1.16 and 1.17.  
☒ Overpayment Credit.
3. ☐ Applicant claims small entity status.

## FEE CALCULATION

## 1. FILING FEE

## Large Entity

| Fee (\$) | Fee Description        | Fee Paid |
|----------|------------------------|----------|
| 740      | Utility filing fee     | 740.00   |
| 330      | Design filing fee      |          |
| 160      | Provisional filing fee |          |

|  | Number Filed | Number Extra | Rate | Amount |
|--|--------------|--------------|------|--------|
|--|--------------|--------------|------|--------|

|              |   |          |              |      |
|--------------|---|----------|--------------|------|
| Total Claims | 9 | - 20 = 0 | x \$ 18.00 = | 0.00 |
|--------------|---|----------|--------------|------|

|                    |   |         |              |      |
|--------------------|---|---------|--------------|------|
| Independent Claims | 2 | - 3 = 0 | x \$ 84.00 = | 0.00 |
|--------------------|---|---------|--------------|------|

☐ Multiple Dependent Claim(s), if any \$280.00 =

TOTAL 740.00

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$ 740.00)

## 2. AMENDMENT CLAIM FEES

| Claims Remaining After Amend. | Highest No. Previously Paid For | Present Extra | Rate | Fee Paid |
|-------------------------------|---------------------------------|---------------|------|----------|
|-------------------------------|---------------------------------|---------------|------|----------|

|       |   |   |              |  |
|-------|---|---|--------------|--|
| Total | - | = | x \$ 18.00 = |  |
|-------|---|---|--------------|--|

|        |   |   |              |  |
|--------|---|---|--------------|--|
| Indep. | - | = | x \$ 84.00 = |  |
|--------|---|---|--------------|--|

☐ First Presentation of Multiple Dep. Claim + \$280.00 =

TOTAL (\$)

SMALL ENTITY DISCOUNT (\$)

SUBTOTAL (2) (\$ 0.00)

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity Fee (\$) | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 130                   | 65                    | Surcharge - late filing fee or oath                            | 130.00   |
| 50                    | 25                    | Surcharge - late provisional filing fee or cover sheet         |          |
| 130                   | 130                   | Non-English specification                                      |          |
| 2,520                 | 2,520                 | Request for ex parte reexamination                             |          |
| 110                   | 55                    | Extension for reply within first month                         |          |
| 400                   | 200                   | Extension for reply within second month                        |          |
| 920                   | 460                   | Extension for reply within third month                         |          |
| 1440                  | 720                   | Extension for reply within fourth month                        |          |
| 1960                  | 980                   | Extension for reply within fifth month                         |          |
| 320                   | 160                   | Notice of Appeal   |          |
| 320                   | 160                   | Filing a brief in support of an appeal                         |          |
| 280                   | 140                   | Request for oral hearing                                       |          |
| 130                   | 130                   | Petitions to the Commissioner                                  |          |
| 180                   | 180                   | Submission of Information Disclosure Statement                 |          |
| 740                   | 370                   | Filing a submission after final rejection (37 CFR 1.129(a))    |          |
| 740                   | 370                   | For each additional invention to be examined (37 CFR 1.129(b)) |          |
| 100                   | 100                   | Certificate of Correction for applicant's error                |          |

Other fee (Specify)

Other fee (Specify)

SUBTOTAL (3) (\$ 130.00)

SUBTOTAL (1) 740.00

SUBTOTAL (2) 130.00

SUBTOTAL (3) 0.00

TOTAL (\$ 870.00)

## CORRESPONDENCE ADDRESS

Direct all correspondence to:  
 Patent Administrator  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower-125 High Street

## SIGNATURE BLOCK

Date: April 30, 2002  
 Reg. No.: 44,559

Respectfully submitted,

*Maureen A. Bresnahan*  
 Maureen A. Bresnahan

APR 30 2002  
 PATENT  
**TRANSMITTAL  
 FORM**

|                           |                  |
|---------------------------|------------------|
| Application Serial Number | 10:067,527       |
| Filing Date               | February 4, 2002 |
| First Named Inventor      | Takada           |
| Group Art Unit            | 1653             |
| Examiner Name             | Not yet assigned |
| Attorney Docket No.       | FJN-058C1        |
| Patent No.                | Not applicable   |
| Issue Date                | Not applicable   |

**ENCLOSURES (check all that apply)**

- |   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Check Attached<br><input type="checkbox"/> Copy of Fee Transmittal Form<br><br><input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> Preliminary<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]<br><br><input type="checkbox"/> Petition for Extension of Time<br><br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Form PTO-1449<br><input type="checkbox"/> Copies of IDS Citations<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Sequence Listing submission<br><input type="checkbox"/> Paper Copy/CD<br><input type="checkbox"/> Computer Readable Copy<br><input type="checkbox"/> Statement verifying identity of above | <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)<br><br><input type="checkbox"/> Formal Drawing(s)<br><br><input type="checkbox"/> Request For Continued Examination (RCE) Transmittal<br><br><input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application<br><br><input type="checkbox"/> Small Entity Statement<br><br><input type="checkbox"/> CD(s) for large table or computer program<br><br><input type="checkbox"/> Amendment After Allowance<br><br><input type="checkbox"/> Request for Certificate of Correction<br><input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences<br><br><input type="checkbox"/> Appeal Brief (in triplicate)<br><br><input type="checkbox"/> Status Inquiry<br><br><input checked="" type="checkbox"/> Return Receipt Postcard<br><br><input type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8<br><br><input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8<br><br><input type="checkbox"/> Additional Enclosure(s) (please identify below) |
|---|---|--|

**CORRESPONDENCE ADDRESS**

Direct all correspondence to: Patent Administrator  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110  
 Tel. No.: (617) 248-7000  
 Fax No.: (617) 248-7100

**SIGNATURE BLOCK**

Date: April 30, 2002  
 Reg. No. 44,559  
 Tel. No.: (617) 248-7103  
 Fax No.: (617) 248-7100

Respectfully submitted,

*Maureen A. Bresnahan*  
 Maureen A. Bresnahan  
 Attorney for the Applicants  
 Testa, Hurwitz & Thibault, LLP  
 High Street Tower  
 125 High Street  
 Boston, MA 02110



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UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

| APPLICATION NUMBER | FILING RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/067,527         | 02/04/2002          | Yukihiro Takada       | FJN-058C1              |

 021323  
 TESTA, HURWITZ & THIBEAULT, LLP  
 HIGH STREET TOWER  
 125 HIGH STREET  
 BOSTON, MA 02110

CONFIRMATION NO. 8309

## FORMALITIES LETTER



\*OC000000007585652\*

Date Mailed: 03/06/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY